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the extent they are to be used according to Fed. R. Civ. P. 4. The Magistrate Judge shall consider any such motion and rule on the same so as to grant or deny such motion for publication in whole or in part.

(6) The Magistrate Judge shall establish a schedule for completion of service of process which may be modified by further order from time to time as appropriate.

The Magistrate Judge is authorized to consider and decide all issues which may arise pertaining to service of process.

proceedings and shall decide how the information shall be obtained by the U.S./Tribe to enable them to identify the individuals and entities with claims to surface water and/or groundwater in the Walker River Basin who are the appropriate counterdefendants to the U.S./Tribe said counterclaims. The Magistrate Judge shall determine the responsibilities of the respective parties to provide such information and at whose cost. Such information may be ordered obtained through orders devised by the court or discovery or other processes, so that the litigation may proceed in a reasonable manner.

In this connection, the Magistrate Judge shall also consider and determine how, when, and at whose cost information regarding changes or modification in the individuals or entities with such water rights claims shall be provided as between the parties and the entities which receive information respecting any

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such changes, until service of process is complete on the counterclaims.

- (8) The U.S./Tribe may seek costs of service pursuant to the requirement of Fed. R. Civ. P. 4(d) during their service efforts under this Case Management Order.
- and compiled the list of parties whom they intend to serve, that list and a description of the procedures by which it was compiled shall be filed and provided to the parties who shall have such period of time as the Magistrate Judge shall determine to file objections indicating whether the list is complete and includes all such water rights claimants within the categories described in paragraph (3) above who can reasonably be identified. The Magistrate Judge shall consider and rule on all such objections. Corrections to the list of intended parties may be made during the period of the service of process upon appropriate notice and approval of the Magistrate Judge.

#### Scheduling, Case Management

(10) Following completion of service of process on the said counterclaims, the Magistrate Judge shall receive recommendations of the parties for procedures for scheduling and for the efficient management of the litigation given the number of parties to the case. Such procedures may include the use of common counsel, special procedures for service of pleadings, or any other mechanisms deemed likely to reduce the burdens on the parties and the court in a case of this magnitude. The Magistrate Judge shall

#### Case 3:73-cv-00127-MMD-WGC Document 3 Filed 08/08/12 Page 3 of 28

consider and make all appropriate rulings with respect to these

#### Threshold Issues Relative to Tribal Claims

(11) As soon as convenient after the entry of this order, and upon appropriate notice to the parties presently appearing in case, the Magistrate Judge shall consider and preliminary determination of the threshold issues to be addressed of the litigation on the U.S./Tribe counterclaims. Scheduling of such consideration shall go forward notwithstanding other proceedings provided for in this order. The list of threshold issues regarding said claims will not be finally resolved and settled by the Magistrate Judge until all appropriate parties are joined. Nevertheless, the parties are directed to identify all potential threshold issues promptly and to submit them to the Magistrate Judge for consideration, as he shall direct, so that action may proceed as promptly as possible upon conclusion of service of process. In general, threshold issues, among others, shall address jurisdiction, claim, preclusion, applicable law, equitable and other defenses which may be raised by any party.

Among others, the Magistrate Judge shall consider inclusion in the list of threshold issues to the resolution of the said Tribal Claims to be addressed at the outset of the litigation:

(a) Whether this court has jurisdiction to adjudicate the said Tribal Claims. If so, to what extent should the court exercise its jurisdiction in these matters. In this connection, what is the scope of this court's subject matter

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jurisdiction to adjudicate the Tribal Claims to groundwater, as well as to additional surface waters?

- (b) Does federal law govern the pumping of groundwater on the Walker Lake Paiute Indian Reservation by the Tribe or the U.S. on its behalf?
- (c) If the Tribe has the right to pump groundwater under federal law, are such rights, as a matter of federal law, subject to different protections than those provided by State law?
- (d) Whether the court has jurisdiction over groundwater used pursuant to State law outside the exterior boundaries of the Walker River Paiute Indian Reservation if such use interferes with the Tribe's rights under federal law to use water from the Walker River system. If so, should the court exercise that jurisdiction?
- (e) Whether equitable defenses bar some or all of the said Tribal Claims. Within such time as shall be fixed by the Magistrate Judge the parties now or hereafter appearing in the case shall file for consideration by the Magistrate Judge a statement as to any defenses or issues they intend to assert.
- (f) Whether, regardless of the extent of hydrologic connection between surface and groundwater, this court is required to accept the distinction drawn between surface water rights and groundwater rights provided by California and Nevada law.

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(h) If the only jurisdiction of this court with respect to groundwater issues is to protect surface water rights established under federal law from interference by junior groundwater users, must the issues of interference be decided as a part of the adjudication of federal surface water claims.

#### Phasing of Proceedings

- (12) Pretrial proceedings in this case with respect to the Tribal Claims shall be conducted in multiple phases as follows:
  - (a) Phase I of the proceedings shall consist of the threshold issues as identified and determined by the Magistrate Judge.
  - (b) Phase II will involve completion and determination on the merits of all matters relating to the said Tribal Claims.
  - A Scheduling Order shall be entered by the Magistrate. Judge, in accordance with this order, and such other appropriate matters as he shall consider necessary to provide for completion of consideration of Phases I, II, and subsequent phases in the case.
  - all remaining issues in the case. Such phases shall be

determined and scheduled by the Magistrate Judge and may, to the extent he determines, overlap Phases I and II as appropriate. We do anticipate, however, that the additional phases will not be scheduled by the Magistrate Judge at least until the threshold issues as set forth above have been decided on the merits. The additional phases shall include, but not be limited to:

- a) All other claims, crossclaims, counterclaims, defenses and issues raised by the pleadings of the parties that are not included in the threshold issues.
- (b) All other issues related to the Tribal Claims.
- (c) All issues related to the other Federal Claims.

#### Responses to Process

persons or entities served concerning how and when they must respond. They shall be directed to file and serve upon the U.S., Delanto Per Order W/21/2007 the Tribe, the Walker River Irrigation District, the States of Nevada and Californian within 60 days after completion of service of process (or where service is by publication within 60 days after the last day of publication of such service), a notice of appearance and intent to participate. No Answers or other pleading will be required except upon further order of the Magistrate Judge entered thereafter. No, default shall be taken for failure to appear.

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## (14) Upon completion of Phase I it may be necessary to join additional parties.

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# Discovery, Motions and Further Proceedings

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(15) Once the Magistrate Judge has finally determined the threshold issues, discovery shall be allowed to all parties on the threshold issues. Discovery shall also be permitted during that same time period concerning the basis for the Tribal Claims; such discovery shall be limited to propounding of interrogatories and requests for production of documents relating to the contentions of the U.S./Tribe with respect to the basis for the Tribal Claims.

The discovery provided for in this paragraph (15) shall conducted for such period and according to such terms, conditions, modifications and extensions to this order as shall be determined to be appropriate by the Magistrate Judge.

As provided above, all other discovery is stayed.

- (16) Motions which may be dispositive or partially dispositive of any threshold issue shall be deferred until completion of discovery as permitted by this order and shall be filed thereafter within such time period and schedules for answers and replies as shall be determined by the Magistrate Judge. Such dispositive motions, however, will be decided by the undersigned judge.
- (17) To the extent the threshold issues are not resolved by motions, an evidentiary hearing shall be held before the undersigned judge at such time and according to such conditions

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(including, as appropriate, the filing of joint prehearing orders as shall be determined by the Magistrate Judge.

(18) If a party wishes to perpetuate testimony relevant to this matter, that party shall comply with Fed. R. Civ. P. 27 and any applicable Local Rule. The Magistrate Judge will consider and determine all issues pertaining to perpetuation of testimony.

Management Order for good cause shown. The Magistrate Judge shall have authority to change, modify and adjust this order. The Magistrate Judge shall hold regular periodic status conferences at times he shall determine, so that he and the parties may be advise as to the progress of the case and problems encountered, so that appropriate changes, modifications, and adjustments may be made in this order and such problems addressed.

IT IS FURTHER ORDERED that the Joint Motion For Leave to Serve First Amended Counterclaim filed by U.S./Tribe (#62) is <a href="https://doi.org/10.1001/journal.org/">GRANTED</a> on the basis and to the extent set forth in this order.

The motions (#67), (#90), (#96), and (#98) are all considered ruled upon and decided as provided in the within order.

DATED: April \_\_\_\_\_\_\_\_, 2000

UNITED STATES DISTRICT JUDGE

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### Case 3:1/3-cv-00127-MMD-WGC Document 3 Filed 08/08/12 Page 9 of 28

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEVADA 3 111 -9 PH 3: 03 UNITED STATES OF AMERICA. Plaintiff, WALKER RIVER PAILTE TRIBE. Plaintiff-Intervenor. WALKER RIVER IRRIGATION DIST a corporation, et al., Defendants. 10 11 12 for and what is required by this Order: 14 2 € Paragraph 3 of the Court's Case Management Order (Apr. 18, 2000). 13 20 in Lieu of Summons

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IN EQUITY NO. CV-125-ECR

SUBFILE NO. C-125-B

ORDER REGARDING

NGES IN OWNERSHIP

The following background information is provided to aid in understanding the reas

- The Court has ordered that certain persons and entities be included as parties to this action because they own water rights within one or more of the nine categories set forth in
- During the course of this action, it is possible that a party will sell or otherwise convey ownership of all or a portion of the water rights which that party owned when brought into this action by a Waiver of Service of Notice in Lieu of Summons or by service of a Notice
- A change in ownership of a water right or a portion of a water right can occur in a number of ways and for a variety of reasons. Often a change in ownership of a water right occurs when ownership of some or all of the land on which the water is used changes. A change in ownership may involve a sale, a gift, a death or even a divorce. It may also involve estate or business planning decisions such as conveyance to an intervivos trust or a limited liability company. Frequently changes in ownership are accomplished by a deed. However, in an estate or divorce proceeding they may be accomplished by an order of a court. These examples are not

ORDER REGARDING CHANGES IN OWNERSHIP OF WATER RIGHTS, page 1 of 3

currently use groundwater.

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### Case 3: 3-cv-00127-MMD-WGC Document 3 Filed 08/08/12 Page 11 of 28

ownership, notify the Court and the United States of the change in ownership. The notice required by this Order shall provide the following information: 2. 2 The name and address of the party who sold or otherwise conveyed 3 ownership: The name and address of each person or entity who acquired ownership; 5 B. б and A copy of the deed, court order or other document by which the change in 8 ownership was accomplished. 9 The notice shall be sent to the Court and counsel for the United States addressed as follows: 10 Linda Lea Sharer, Chief Deputy Clerk 11 United States District Court for the District of Nevada 400 South Virginia Street, Suite 301 Reno, NV 89501 13 Susan L. Schneider :4 Unites States Department of Justice P.O. Box 756 15 Littleton, CO 80160 The form and substance of the notice shall substantially conform to the form of :€ notice attached to this order as Exhibit A. Any person or entity who files a Notice of Change of Ownership of Water Right ΞΞ using the attached form or provides information for this purpose by other means is ultimately. responsible for the accuracy of this filing. Consequently, any person or entity who files such a notice regarding water rights subject to this litigation, but retains such water rights, shall 2: nevertheless be bound by the results of this litigation. 22 IT IS SO ORDERED: 23 24 25 26 The Honorable Robert A. McQuaid, Jr.

ORDER REGARDING CHANGES IN OWNERSHIP OF WATER RIGHTS. page 3 of 3

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United States District Court Magistrate Judge

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CHANGE OF WATER RIGHTS OWNERSHIP, page 1 of 3

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28	NOTICE OF CE	LANGE OF W	ATER RIGHTS O	WNERSHIP, pa	gc 2 of 3		• •,	

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Case 3	Zachy-100c127ir MIMD such G.C. Pr	ocument 3 Filed 08/08/12 Page 17 of 2 ghts, shall nevertheless, be bound by the results of this
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28   1	NOTICE OF CHANGE OF WATER RIGHTS	OWNERSHIP, page 3 of 3

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2 IN THE UNITED STATES DISTRICT GOURTH 3: 03 FOR THE DISTRICT OF NEVADA 4 UNITED STATES OF AMERICA, IN EQUITY, NO. C-125 5 SUBFILE NO. C-125-B Plaintiff. 6 WALKER RIVER PAIUTE TRIBE 7 ORDER - DISCLAIMER OF Plaintiff-Intervenor, 8 INTEREST 9 WALKER RIVER IRRIGATION DISTRIC a corporation, et al., 11 12 The following background information is provided to aid in understanding the reasons 13 for and what is required by this Order. <u>:</u> 4 The Court has ordered that certain persons and entities be included as parties in 15 this action because they own water rights within one or more of the nine categories set forth in . ś Paragraph 3 of the Court's April 18, 2000 Case Management Order. It is possible that some of the specific persons or entities who are served with a <u>:</u> 6 Waiver of Service of Notice in Lieu of Summons. Notice of Lawsuit, or a Notice in Lieu of Summons do not belong in this action, because, for example, they may have sold or otherwise 2.0 conveyed the ownership of all water rights subject to this action prior to being served. A change in ownership of a water right can occur in a number of ways and for a variety of reasons. Often a change in ownership of a water right occurs when ownership of the land on which the water is used changes. A change in ownership may involve a sale, a gift, a death or even a divorce. It may also involve estate or business planning decisions, such as conveyance to an intervivos trust or a limited liability company. Frequently, changes in ownership are accomplished by a deed. However, in an estate or divorce proceeding, they may be accomplished by an order of a court. These examples are not an exclusive list of all of the

ORDER - DISCLAIMER OF INTEREST, page 1 of 4

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ways in which a change in ownership may occur.

4. It is important that the Court and the Plaintiffs be notified if a person or entity who receives service by mail or personal service does not, in fact, have any ownership interest in a water right in any of the nine categories set forth in Paragraph 3 of the Court's April 18, 2000 Case Management Order. In addition, that person or entity need not be burdened with this litigation and, if there was a change in ownership, a new party may need to be added to the action. Before any such person may be omitted from this action, certain information and documents will have to be provided to the Plaintiffs and the Court.

Based upon the foregoing, it is hereby ORDERED as follows:

1. If any person or entity receiving service by mail or personal service has no interest in any water right within any of the nine categories set forth in Paragraph 3 of the Case Management Order (Apr. 18, 2000)<sup>1</sup>/, that person or entity shall notify the Court and the

You should review the Case Management Order and First Amended Counterclaims filed by the United States and by the Walker River Paiute Tribe, which are included in the materials served upon you. For convenience, the nine categories of persons and entities that the Court has ordered to be served and named are listed here:

- 1. Category 3.a.: The successors in interest to all water rights holders under the Decree (April 14, 1936), modified. Order of Entry of Amended Final Decree to Conform to Writ of Mandate, Etc. (April 24, 1940) ("Decree").
- 2. Category 3.b.: All holders of surface water rights under the laws of the States of Nevada and California in the Walker River Basin who are not presently parties to this adjudication.
- 3. Category 3.c.: All holders of permits or certificates to pump groundwater issued by the State of Nevada and domestic users of groundwater within Sub Basins 107 (Smith Valley), 108 (Mason Valley), 110A (Schurz Subarea of the Walker Lake Valley), and 110B (Walker Lake Subarea of the Walker Lake Valley).
- 4. Category 3.d.: All holders of permits or certificates to pump groundwater issued by the State of Nevada within Sub Basins 106 (Antelope Valley), 109 (East Walker), and 110C (Whiskey Flat-Hawthorne Subarea of Walker Lake Groundwater Basin).
- 5. Category 3.e.: All users of groundwater for irrigation in California in the Walker River Basin.

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# Case 3:78-cv-00127-MMD-WGC Document 3 Filed 08/08/12 Page 21 of 28

United States in writing of that fact. If such person or entity sold or otherwise conveyed ownership of all of the water rights that the person or entity once owned before they were served or otherwise brought into this action, in addition to disclaiming any interest in this action, they shall include a notice providing the following information: 5 The name and address of the person or entity who sold or otherwise conveyed ownership: The name and address of each person or entity who acquired ownership; 8 9 A copy of the deed, court order or other document by which the change in ownership was accomplished. 10 The disclaimer and notice shall be sent to the Court and counsel for the United ĩı States, addressed as follows: 12 Linda Lea Sharer, Chief Deputy Clerk 1.3 United States District Court for the District of Nevada 400 South Virginia Street, Suite 301 <u>:</u> 4 Reno, NV 895501 15 Susan L. Schneider United States Department of Justice 16 P.O. Box 756 Littleton, CO 80160 The form and substance of the disclaimer and notice shall substantially conform. to the form attached to this Order as Exhibit A. Following their receipt from any person or entity disclaiming any interest in any Sept. 20. 6. Category 3.f.: All holders of "vested rights" to the use of groundwater under the laws 22 of the State of Nevada within the Walker River Basin. ∵23; 7. Category 3.g.: Al! municipal providers in Nevada within the Walker River Basin who currently use groundwater. 24 25 8. Category 3.h.: All municipal providers in California within the Walker River Basin who currently use groundwater. 26 9. Category 3.i.: All industrial users in Nevada within the Walker River Basin who

currently use groundwater.

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- of the water rights at issue in this case of a Waiver of Service of Notice in Lieu of Summons and any Disclaimers of Interest and accompanying information and documents sought by this Order, Plaintiffs will review the materials received and, if appropriate, seek the Court's concurrence in omitting that person or entity filing such materials from this case.
- 6. If Plaintiffs do not receive a Waiver of Service of Notice in Lieu of Summons and must personally serve a person or entity that subsequently files a Disclaimer of Interest pursuant to this Order, Plaintiffs will also review the materials received and, if appropriate, seek the Court's concurrence in omitting the person or entity from this case, but that person or entity may be subject to paying the costs related to formal personal service on them.
- 7. Despite the above provisions, any person or entity who files a Disclaimer of Interest in this matter is ultimately responsible for the accuracy of this filing. Consequently, any person or entity who files a Disclaimer of Interest, but, in fact, has water rights subject to this litigation, shall nevertheless be bound by the results of this litigation.
- 8. Any person or entity subject to service under the Federal Rules of Civil Procedure who receives notice of this action in the manner provided by Federal Rule of Civil Procedure 4(d) remains subject to the duty to avoid unnecessary costs of serving the summons, even if that person or entity ultimately disclaims any ownership interest in any of the water rights described by Paragraph 3 of the Court's April 18, 2000 Case Management Order.

IT IS SO ORDERED:

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The Honorable Robert A. McQuaid, Jr.
United States District Court Magistrate Judge

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	water rights that the undersigned (or the entity on whose behalf the undersigned is acting)									
-	owned before the undersigned was served with a Waiver of Service of Notice in Lieu of									
4	Summons or by a Notice in Lieu of Summons, the undersigned provides the following additional information:									
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DISCLAIMER OF WATER RIGHTS AND NOTICE OF RELATED INFORMATION, page 2 of 4

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11 12	acknowledges that any person or entity who files a Disclaimer
	of Interest in this matter is ultimately responsible for the accuracy of this filing. Consequently,
13	the undersigned acknowledges that any person or entity who files a Disclaimer of Interest, but,
14	in fact, has water rights subject to this litigation, shall nevertheless be bound by the results of this litigation.
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DISCLAIMER OF WATER RIGHTS AND NOTICE OF RELATED INFORMATION, page 3 of 4

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[name, if applicable, of person acting on behalf of counter-defendant] [signature, if applicable, of person acting on behalf of Counter-Defendant] ..11 .15 [address] [telephone number] 

DISCLAIMER OF WATER RIGHTS AND NOTICE OF RELATED INFORMATION, page 4 of 4

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